

# Sparse & Redundant Representation Modeling of Images: Theory and Applications

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Seventh International Conference on  
Curves and Surfaces



Avignon - FRANCE  
June 24-30, 2010

# This Talk Gives and Overview On ...

A decade of tremendous progress in the field of

## Sparse and Redundant Representations



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graph TD; A[Sparse and Redundant Representations] --> B[Theory]; A --> C[Numerical Problems]; A --> D[Applications];
```

Theory

Numerical  
Problems

Applications



# Agenda

**Part I** – Denoising  
by Sparse &  
Redundant  
Representations

**Part II** – Theoretical &  
Numerical Foundations

**Part III** – Dictionary Learning  
& The K-SVD Algorithm

**Part V** –  
Summary &  
Conclusions

**Part IV** – Back to Denoising ... and Beyond –  
handling stills and video denoising & inpainting,  
demosaicing, super-res., and compression

**Today we will  
show that**

- ❑ Sparsity and Redundancy are valuable and well-founded tools for modeling data.
- ❑ When used in image processing, they lead to state-of-the-art results.



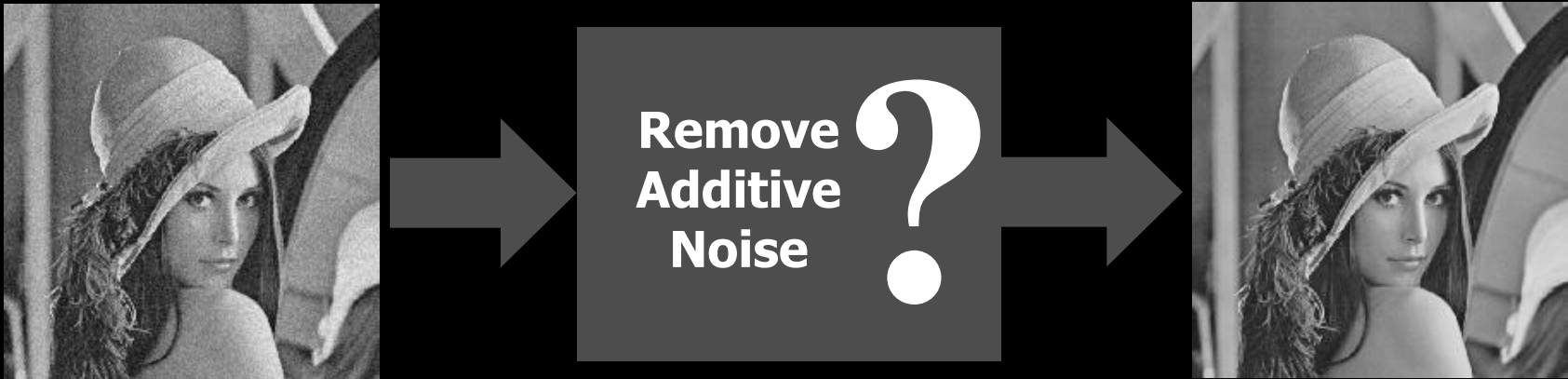
# Part I

## Denoising by Sparse & Redundant Representations



# Noise Removal?

Our story begins with image denoising ...



- ❑ **Important:** (i) Practical application; (ii) A convenient platform (being the simplest inverse problem) for testing basic ideas in image processing, and then generalizing to more complex problems.
- ❑ **Many Considered Directions:** Partial differential equations, Statistical estimators, Adaptive filters, Inverse problems & regularization, Wavelets, Example-based techniques, **Sparse representations**, ...



# Denoising By Energy Minimization

Many of the proposed image denoising algorithms are related to the minimization of an energy function of the form

$$f(\underline{x}) = \frac{1}{2} \|\underline{x} - \underline{y}\|_2^2 + G(\underline{x})$$

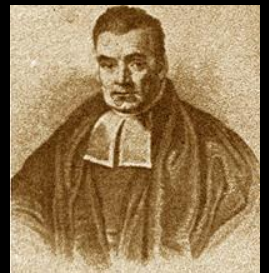
$\underline{y}$  : Given measurements

$\underline{x}$  : Unknown to be recovered

Relation to  
measurements

Prior or regularization

- ❑ This is in-fact a Bayesian point of view, adopting the Maximum-A-posteriori Probability (MAP) estimation.
- ❑ Clearly, the wisdom in such an approach is within the choice of the prior – **modeling the images** of interest.



Thomas Bayes  
1702 - 1761



# The Evolution of $G(\underline{x})$

During the past several decades we have made all sort of guesses about the prior  $G(\underline{x})$  for images:

$$G(\underline{x}) = \lambda \|\underline{x}\|_2^2$$



**Energy**

$$G(\underline{x}) = \lambda \|\mathbf{L}\underline{x}\|_2^2$$



**Smoothness**

$$G(\underline{x}) = \lambda \|\mathbf{L}\underline{x}\|_{\mathbf{w}}^2$$



**Adapt+  
Smooth**

$$G(\underline{x}) = \lambda \rho\{\mathbf{L}\underline{x}\}$$



**Robust  
Statistics**

$$G(\underline{x}) = \lambda \|\nabla \underline{x}\|_1$$



**Total-  
Variation**

$$G(\underline{x}) = \lambda \|\mathbf{W}\underline{x}\|_1$$



**Wavelet  
Sparsity**

$$G(\underline{x}) = \lambda \|\underline{\alpha}\|_0^0$$

for  $\underline{x} = \mathbf{D}\underline{\alpha}$

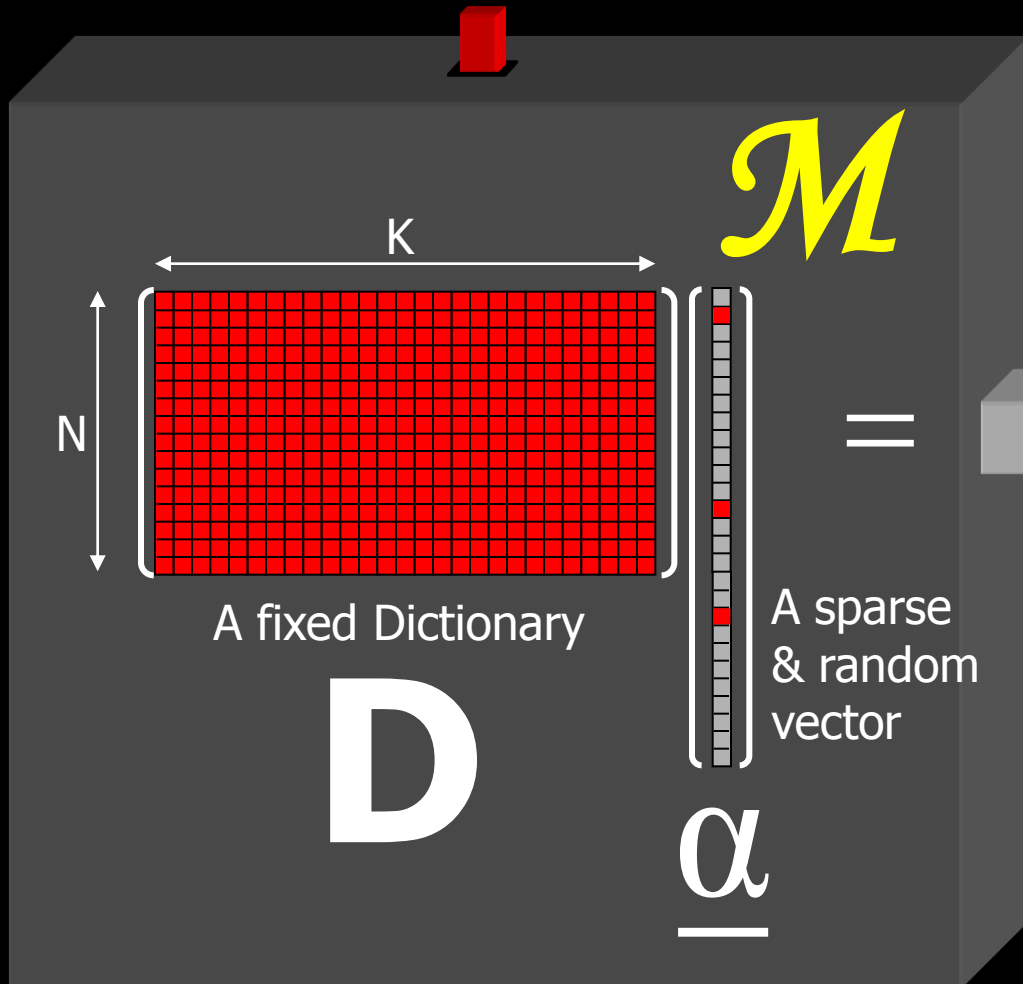


**Sparse &  
Redundant**

- Hidden Markov Models,
- Compression algorithms as priors,
- ...

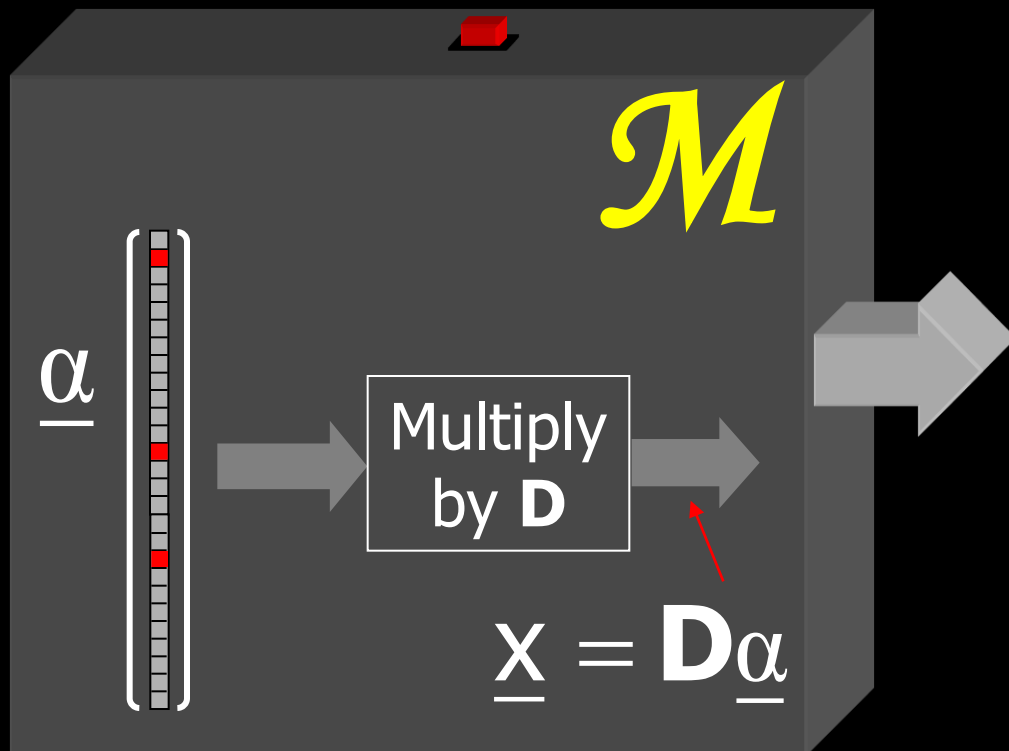


# Sparse Modeling of Signals



- Every column in  $\mathbf{D}$  (**dictionary**) is a prototype signal (**atom**).
- The vector  $\underline{\alpha}$  is generated randomly with few (say  $L$ ) non-zeros at random locations and with random values.
- We shall refer to this model as *Sparseland*

# *Sparse* Signals are Special



## Interesting Model:

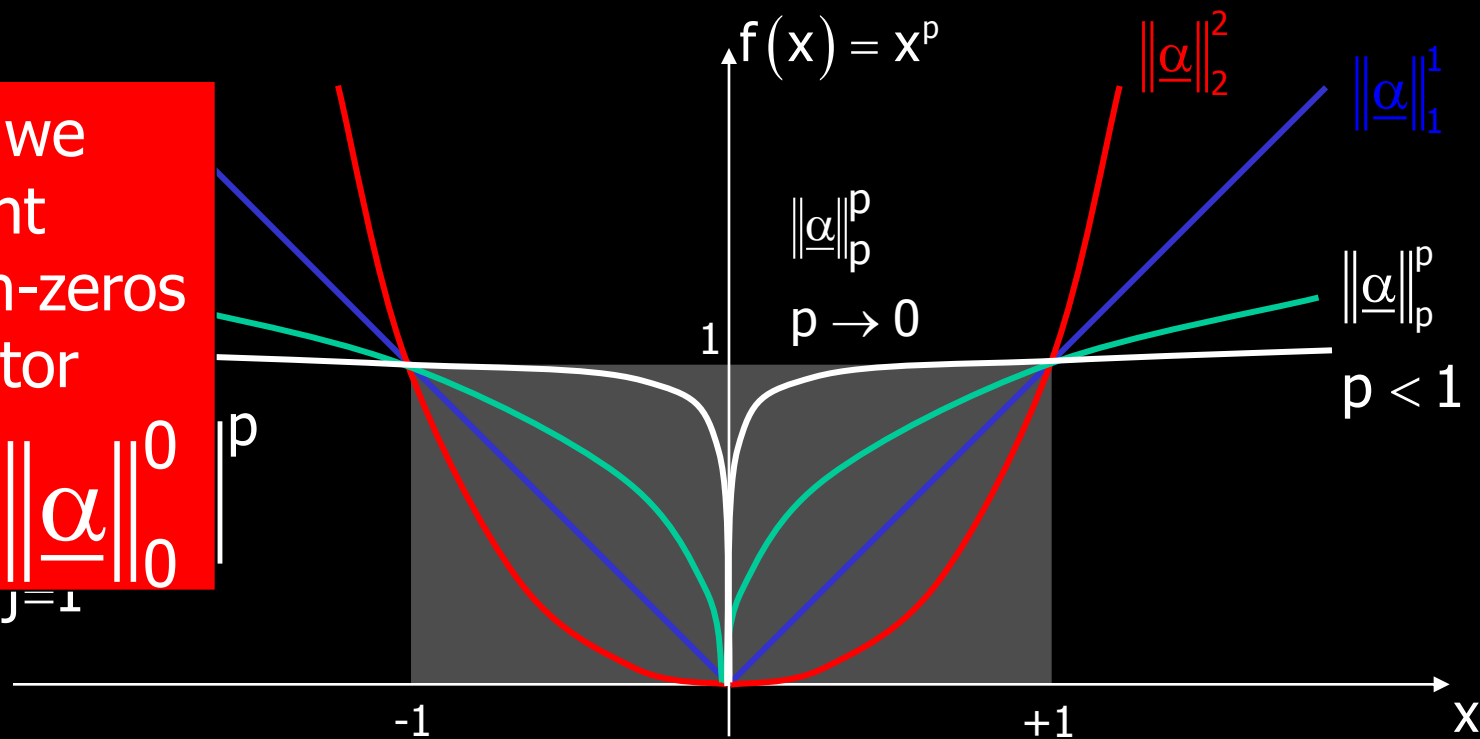
- ❑ **Simple:** Every generated signal is built as a linear combination of few atoms from our dictionary  $\mathbf{D}$
- ❑ **Rich:** A general model: the obtained signals are a union of many low-dimensional Gaussians.
- ❑ **Familiar:** We have been using this model in other context for a while now (wavelet, JPEG, ...).



# Sparse & Redundant Rep. Modeling?

As  $p \rightarrow 0$  we  
get a count  
of the non-zeros  
in the vector

→  $\|\underline{\alpha}\|_0$



$$\underline{x} = \mathbf{D}\underline{\alpha} \quad \text{where} \quad \|\underline{\alpha}\|_0 \leq L$$

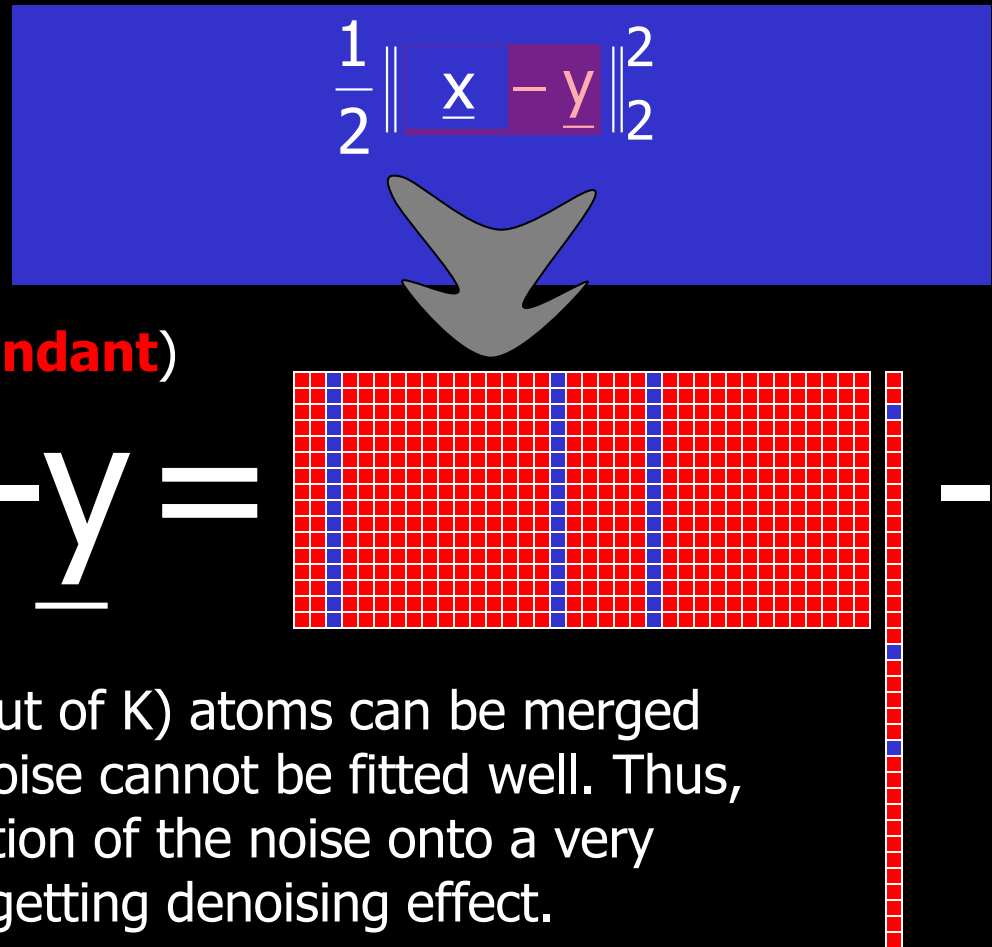


# Back to Our MAP Energy Function

- We  $L_0$  norm is effectively counting the number of non-zeros in  $\underline{\alpha}$ .

- The vector  $\underline{\alpha}$  is the representation (**sparse/redundant**) of the desired signal  $x$ .

$$D\underline{\alpha} - \underline{y} =$$



- The core idea: while few ( $L$  out of  $K$ ) atoms can be merged to form the true signal, the noise cannot be fitted well. Thus, we obtain an effective projection of the noise onto a very low-dimensional space, thus getting denoising effect.



# Wait! There are Some Issues

- **Numerical Problems:** How should we solve or approximate the solution of the problem

$$\min_{\underline{\alpha}} \|\mathbf{D}\underline{\alpha} - \underline{y}\|_2^2 \quad \text{s.t.} \quad \|\underline{\alpha}\|_0^0 \leq L \quad \text{or} \quad \min_{\underline{\alpha}} \|\underline{\alpha}\|_0^0 \quad \text{s.t.} \quad \|\mathbf{D}\underline{\alpha} - \underline{y}\|_2^2 \leq \varepsilon^2$$

$$\text{or} \quad \min_{\underline{\alpha}} \lambda \|\underline{\alpha}\|_0^0 + \|\mathbf{D}\underline{\alpha} - \underline{y}\|_2^2 \quad ?$$

- **Theoretical Problems:** Is there a unique sparse representation? If we are to approximate the solution somehow, how close will we get?
- **Practical Problems:** What dictionary  $\mathbf{D}$  should we use, such that all this leads to effective denoising? Will all this work in applications?



# To Summarize So Far ...

Image denoising  
(and many other  
problems in image  
processing) requires  
a model for the  
desired image

What do  
we do?

We proposed a  
model for  
signals/images  
based on sparse  
and redundant  
representations

There are some issues:

1. Theoretical
2. How to approximate?
3. What about **D**?

Great!  
No?



# **Part II**

## Theoretical & Numerical Foundations

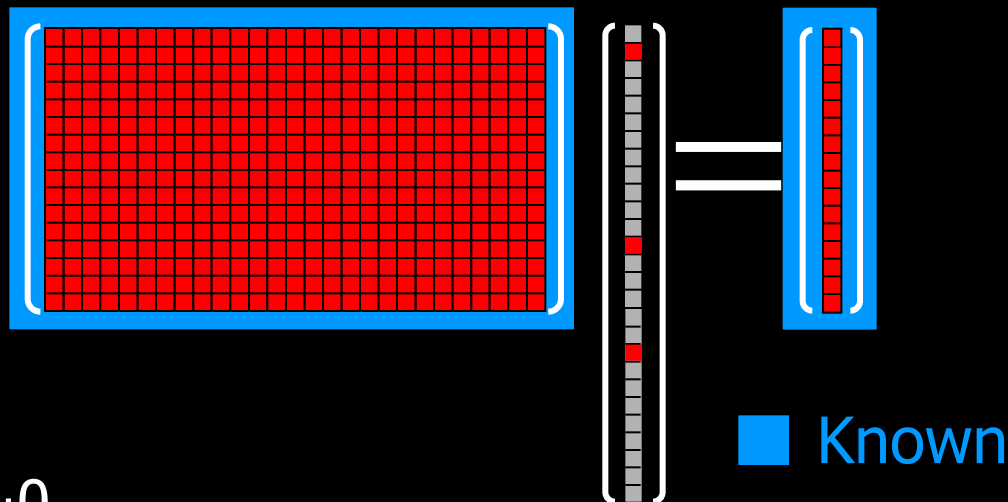


# Lets Start with the Noiseless Problem

Suppose we build a signal by the relation

$$\mathbf{D}\underline{\alpha} = \underline{x}$$

We aim to find the signal's representation:



$$\hat{\underline{\alpha}} = \underset{\underline{\alpha}}{\text{ArgMin}} \|\underline{\alpha}\|_0^0 \quad \text{s.t.} \quad \underline{x} = \mathbf{D}\underline{\alpha}$$

Uniqueness

Why should we necessarily get  $\hat{\underline{\alpha}} = \underline{\alpha}$ ?

It might happen that eventually  $\|\hat{\underline{\alpha}}\|_0^0 < \|\underline{\alpha}\|_0^0$ .



# Matrix “Spark”

Definition: Given a matrix  $\mathbf{D}$ ,  $\sigma = \text{Spark}\{\mathbf{D}\}$  is the smallest number of columns that are linearly dependent. \*

Donoho & E. ('02)

Example:

1	0	0	0	1
0	1	0	0	1
0	0	1	0	0
0	0	0	1	0

Rank = 4

Spark = 3

\* In tensor decomposition, Kruskal defined something similar already in 1989.



# Uniqueness Rule

Suppose this problem has been solved somehow

$$\hat{\underline{\alpha}} = \underset{\underline{\alpha}}{\text{ArgMin}} \|\underline{\alpha}\|_0^0 \quad \text{s.t.} \quad \underline{x} = \mathbf{D}\underline{\alpha}$$

Uniqueness

Donoho & E. ('02)

If we found a representation that satisfy

$$\|\hat{\underline{\alpha}}\|_0 < \frac{\sigma}{2}$$

Then necessarily it is unique (the sparsest).

This result implies that if  $\mathcal{M}$  generates signals using “sparse enough”  $\underline{\alpha}$ , the solution of the above will find it exactly.

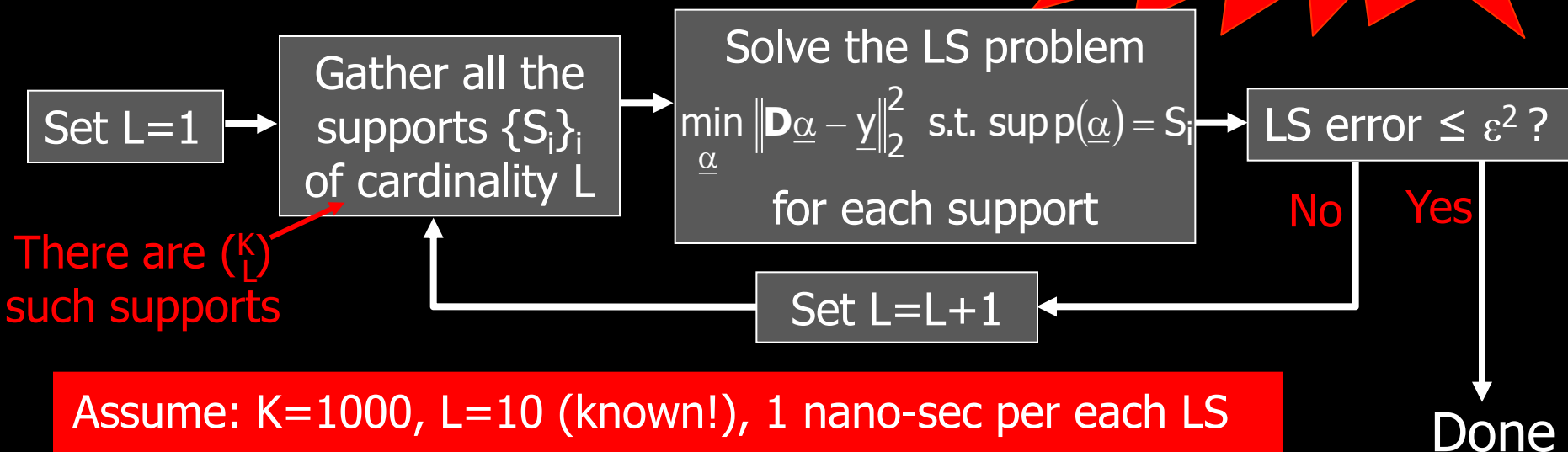


# Our Goal

$$\min_{\underline{\alpha}} \|\underline{\alpha}\|_0 \quad \text{s.t.} \quad \|\mathbf{D}\underline{\alpha} - \underline{y}\|_2^2 \leq \varepsilon^2$$

This is a combinatorial problem, proven to be NP-Hard!

Here is a recipe for solving this problem:



Assume: K=1000, L=10 (known!), 1 nano-sec per each LS

➡ We shall need  $\sim 8e+6$  years to solve this problem !!!!!



# Lets Approximate

$$\min_{\underline{\alpha}} \|\underline{\alpha}\|_0 \quad \text{s.t.} \quad \|\mathbf{D}\underline{\alpha} - \underline{y}\|_2^2 \leq \varepsilon^2$$



## Relaxation methods

Smooth the  $L_0$  and use continuous optimization techniques



## Greedy methods

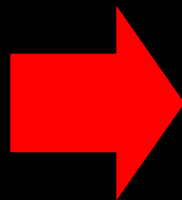
Build the solution one non-zero element at a time



# Relaxation – The Basis Pursuit (BP)

Instead of solving

$$\text{Min}_{\underline{\alpha}} \|\underline{\alpha}\|_0 \quad \text{s.t.} \quad \|\mathbf{D}\underline{\alpha} - \underline{y}\|_2 \leq \varepsilon$$



Solve Instead

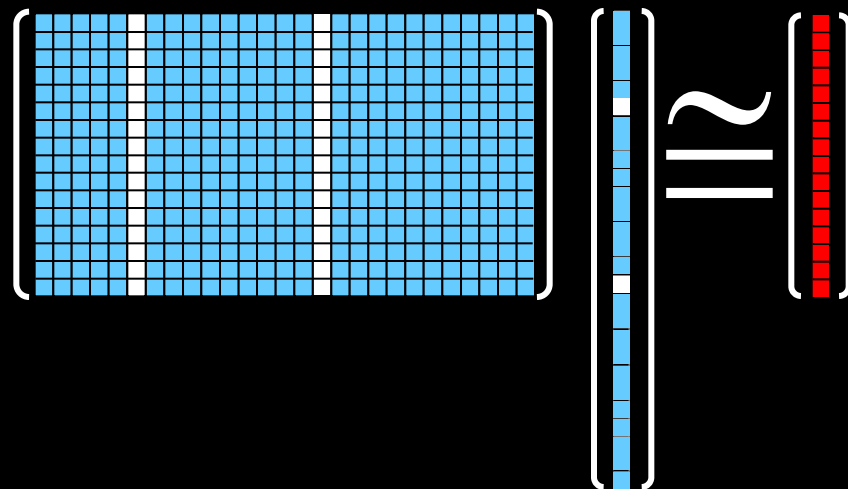
$$\text{Min}_{\underline{\alpha}} \|\underline{\alpha}\|_1 \quad \text{s.t.} \quad \|\mathbf{D}\underline{\alpha} - \underline{y}\|_2 \leq \varepsilon$$

- ❑ This is known as the Basis-Pursuit (BP) [Chen, Donoho & Saunders ('95)].
- ❑ The newly defined problem is convex (quad. programming).
- ❑ Very efficient solvers can be deployed:
  - Interior point methods [Chen, Donoho, & Saunders ('95)] [Kim, Koh, Lustig, Boyd, & D. Gorinevsky ('07)].
  - Sequential shrinkage for union of ortho-bases [Bruce et.al. ('98)].
  - Iterative shrinkage [Figuerido & Nowak ('03)] [Daubechies, Defrise, & De-Mole ('04)] [E. ('05)] [E., Matalon, & Zibulevsky ('06)] [Beck & Teboulle ('09)] ...



# Go Greedy: Matching Pursuit (MP)

- ❑ The MP is one of the greedy algorithms that finds one atom at a time [Mallat & Zhang ('93)].
- ❑ Step 1: find the one atom that **best matches** the signal.
- ❑ Next steps: given the previously found atoms, find the next **one** to **best fit** the residual.
- ❑ The algorithm stops when the error  $\|\mathbf{D}\underline{\alpha} - \underline{y}\|_2$  is below the destination threshold.
- ❑ The Orthogonal MP (OMP) is an improved version that re-evaluates the coefficients by Least-Squares after each round.



# Pursuit Algorithms

$$\min_{\underline{\alpha}} \|\underline{\alpha}\|_0 \quad \text{s.t.} \quad \|\mathbf{D}\underline{\alpha} - \underline{y}\|_2^2 \leq \varepsilon^2$$

There are various algorithms designed for approximating the solution of this problem:

- ❑ Greedy Algorithms: Matching Pursuit, Orthogonal Matching Pursuit (OMP), Least-Squares-OMP, Weak Matching Pursuit, Block Matching Pursuit [1993-today].
- ❑ Relaxation Algorithms: Basis Pursuit (a.k.a. LASSO), Dnatzig Selector & numerical ways to handle them [1995-today].
- ❑ Hybrid Algorithms: StOMP, CoSaMP, Subspace Pursuit, Iterative Hard-Thresholding [2007-today].
- ❑ ...



# Pursuit Algorithms

$$\min_{\underline{\alpha}} \|\underline{\alpha}\|_0 \quad \text{s.t.} \quad \|\mathbf{D}\underline{\alpha} - \underline{y}\|_2^2 \leq \varepsilon^2$$

There are various algorithms designed for approximating the solution of

- ❑ Greedy Algorithms (OMP, LARS, Orthogonal Pursuit [1994])
- ❑ Relaxation & numerical optimization [1995-2000]
- ❑ Hybrid Algorithms: Orthogonal Matching Pursuit [1999], Iterative Thresholding [2007-today].
- ❑ ...

**Why should they work?**

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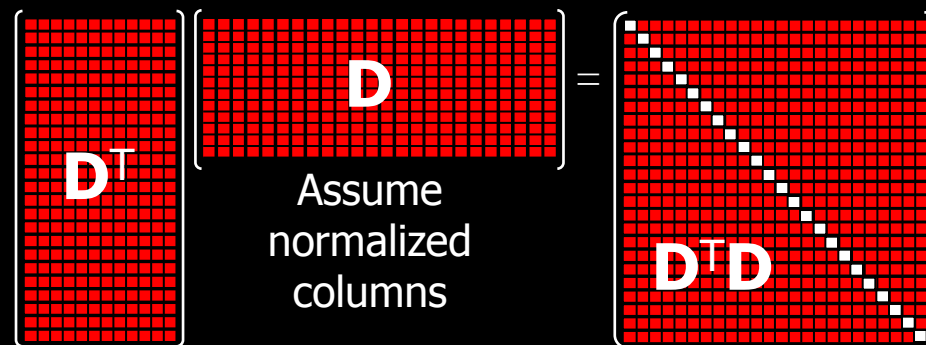


# The Mutual Coherence

□ Compute

$$\begin{bmatrix} \mathbf{D}^T \end{bmatrix} \begin{bmatrix} \mathbf{D} \end{bmatrix} = \begin{bmatrix} \mathbf{D}^T \mathbf{D} \end{bmatrix}$$

Assume normalized columns



□ The **Mutual Coherence**  $M$  is the largest off-diagonal entry in absolute value.

□ The Mutual Coherence is a property of the dictionary (just like the “Spark”). In fact, the following relation can be shown:

$$\sigma \geq 1 + \frac{1}{M}$$



# BP and MP Equivalence (No Noise)

## Equivalence

Given a signal  $\underline{x}$  with a representation  $\underline{x} = \mathbf{D}\underline{\alpha}$ , assuming that  $\|\underline{\alpha}\|_0^0 < 0.5(1 + 1/M)$ , BP and MP are guaranteed to find the sparsest solution.

Donoho & E. ('02)

Gribonval & Nielsen ('03)

Tropp ('03)

Temlyakov ('03)

$$\hat{\underline{\alpha}} = \underset{\underline{\alpha}}{\text{ArgMin}} \|\underline{\alpha}\|_0^0 \quad \text{s.t.} \quad \underline{x} = \mathbf{D}\underline{\alpha}$$

- ❑ MP and BP are different in general (hard to say which is better).
- ❑ The above result corresponds to the worst-case, and as such, it is too pessimistic.
- ❑ Average performance results are available too, showing much better bounds [Donoho ('04)] [Candes et.al. ('04)] [Tanner et.al. ('05)] [E. ('06)] [Tropp et.al. ('06)] ... [Candes et. al. ('09)].



# BP Stability for the Noisy Case

Stability

Ben-Haim,  
Eldar & E. ('09)

Given a signal  $\underline{y} = \mathbf{D}\underline{\alpha} + \underline{v}$  with a representation satisfying  $\|\underline{\alpha}\|_0^0 < 1 / 3M$  and a white Gaussian noise  $\underline{v} \sim \mathcal{N}(0, \sigma^2 \mathbf{I})$ , BP will show\* stability, i.e.,

$$\|\hat{\underline{\alpha}}_{\text{BP}} - \underline{\alpha}\|_2^2 < \text{Const}(\lambda) \cdot \log K \cdot \|\underline{\alpha}\|_0^0 \cdot \sigma^2$$

$$\min_{\underline{\alpha}} \lambda \|\underline{\alpha}\|_1 + \|\mathbf{D}\underline{\alpha} - \underline{y}\|_2^2$$

\* With very high probability (as  $K$  goes to  $\infty$ )

- For  $\sigma=0$  we get a weaker version of the previous result.
- This result is the oracle's error, multiplied by  $C \cdot \log K$ .
- Similar results exist for other pursuit algorithms (Dantzig Selector, Orthogonal Matching Pursuit, CoSaMP, Subspace Pursuit, ...)



# To Summarize So Far ...

Image denoising  
(and many other  
problems in image  
processing) requires  
a model for the  
desired image

What do  
we do?

We proposed a  
model for  
signals/images  
based on sparse  
and redundant  
representations

Problems?

The  
Dictionary  $\mathbf{D}$   
should be  
found  
somehow !!!

What  
next?

We have seen that there are  
approximation methods to  
find the sparsest solution,  
and there are theoretical  
results that guarantee their  
success.



# Part III

## Dictionary Learning: The K-SVD Algorithm



# What Should **D** Be?

$$\hat{\underline{\alpha}} = \underset{\underline{\alpha}}{\operatorname{argmin}} \|\underline{\alpha}\|_0 \quad \text{s.t.} \quad \frac{1}{2} \|\mathbf{D}\underline{\alpha} - \underline{y}\|_2^2 \leq \varepsilon^2 \quad \longrightarrow \quad \hat{\underline{x}} = \mathbf{D}\hat{\underline{\alpha}}$$

Our Assumption: Good-behaved Images  
have a sparse representation



**D** should be chosen such that it sparsifies the representations



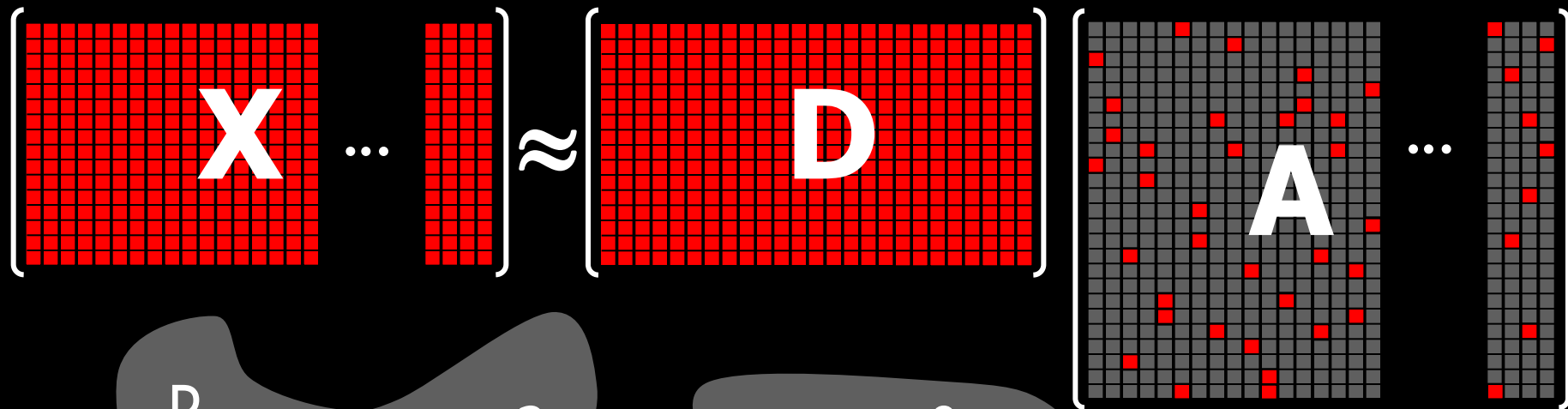
One approach to choose **D** is from  
a known set of transforms  
(Steerable wavelet, Curvelet,  
Contourlets, Bandlets, Shearlets ...)



The approach we will take for  
building **D** is training it,  
based on **Learning** from  
**Image Examples**



# Measure of Quality for **D**



$$\text{Min}_{\mathbf{D}, \mathbf{A}} \sum_{j=1}^P \|\mathbf{D} \underline{\alpha}_j - \underline{x}_j\|_2^2$$

Each example is  
a linear combination  
of atoms from **D**

$$\text{s.t. } \forall j, \|\underline{\alpha}_j\|_0 \leq L$$

Each example has a  
sparse representation with  
no more than  $L$  atoms

[Field & Olshausen ('96)]

[Engan et. al. ('99)]

[Lewicki & Sejnowski ('00)]

[Cotter et. al. ('03)]

[Gribonval et. al. ('04)]

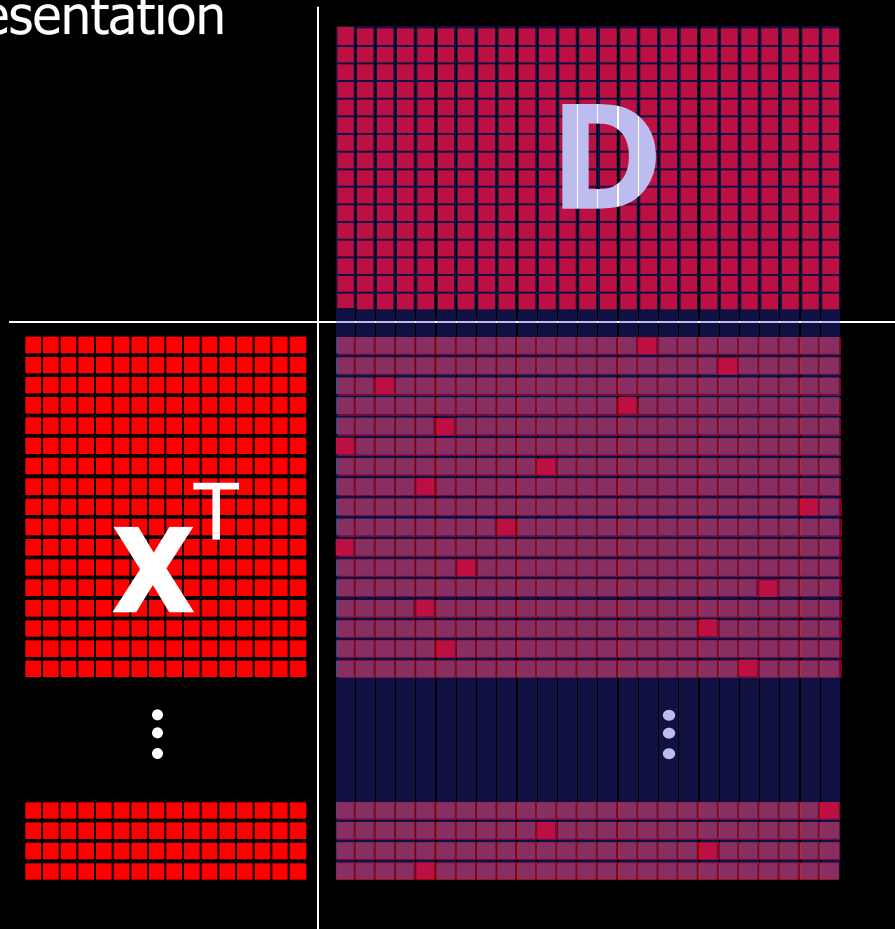
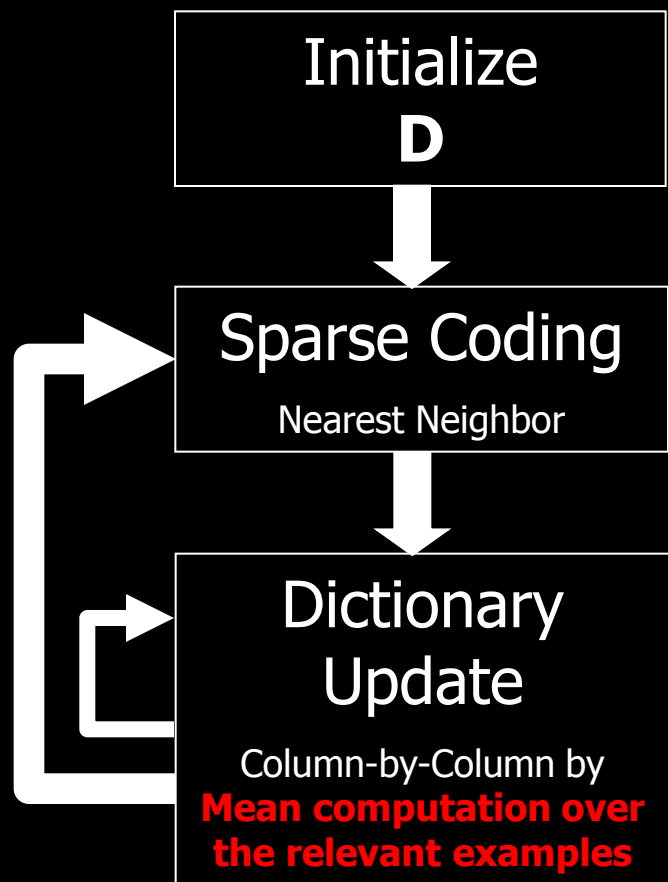
[Aharon, E. & Bruckstein ('04)]

[Aharon, E. & Bruckstein ('05)]



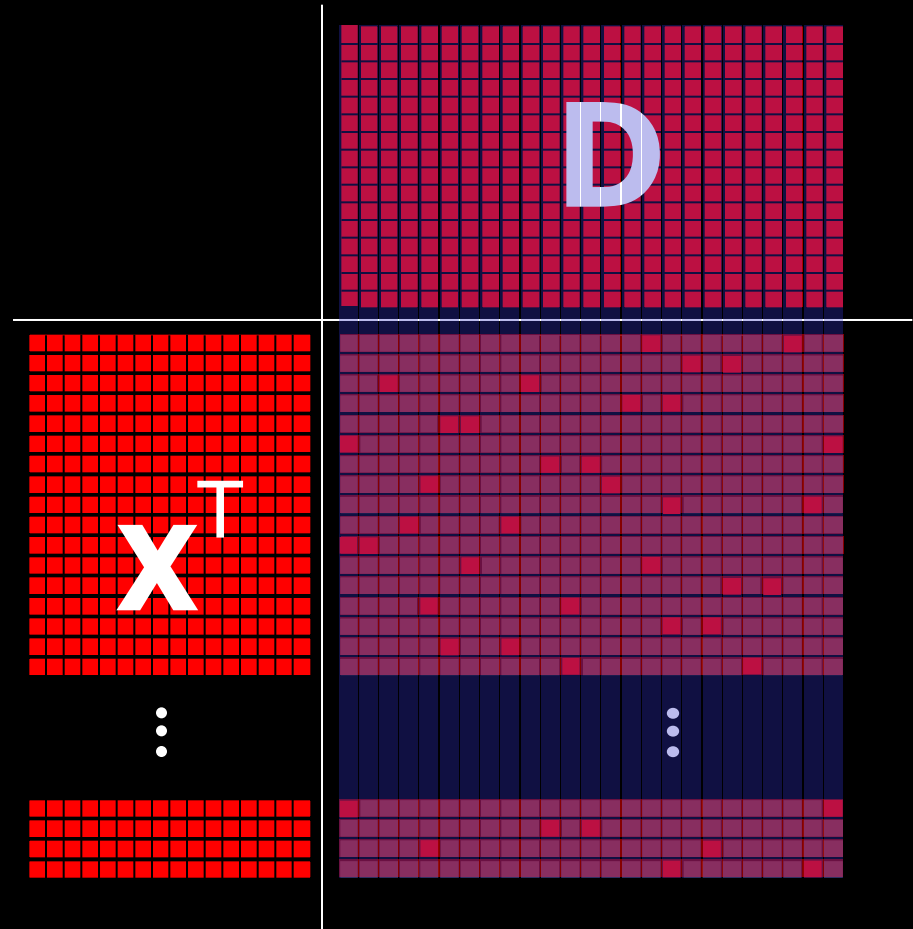
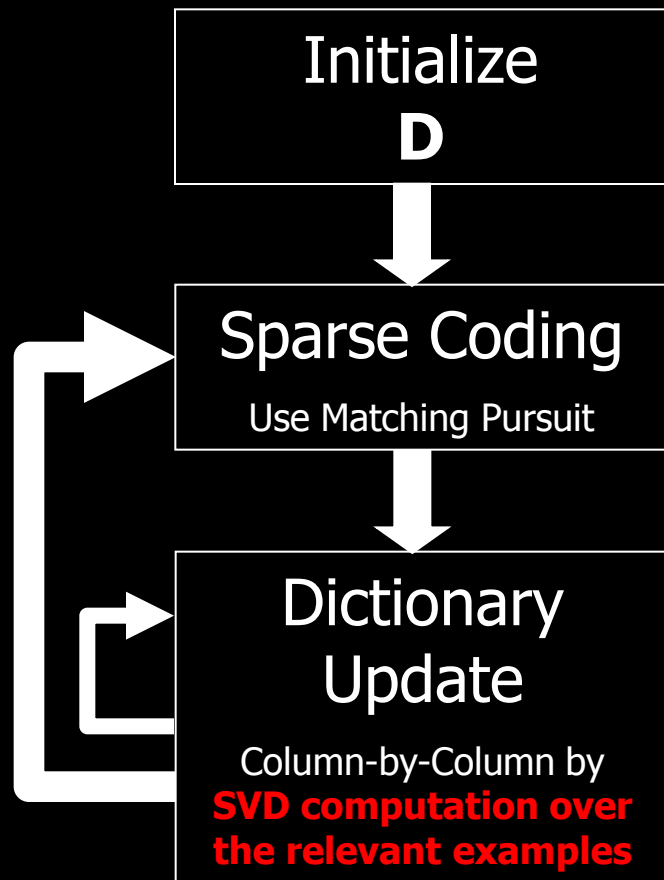
# K-Means For Clustering

Clustering: An extreme sparse representation



# The K-SVD Algorithm – General

[Aharon, E. & Bruckstein ('04,'05)]



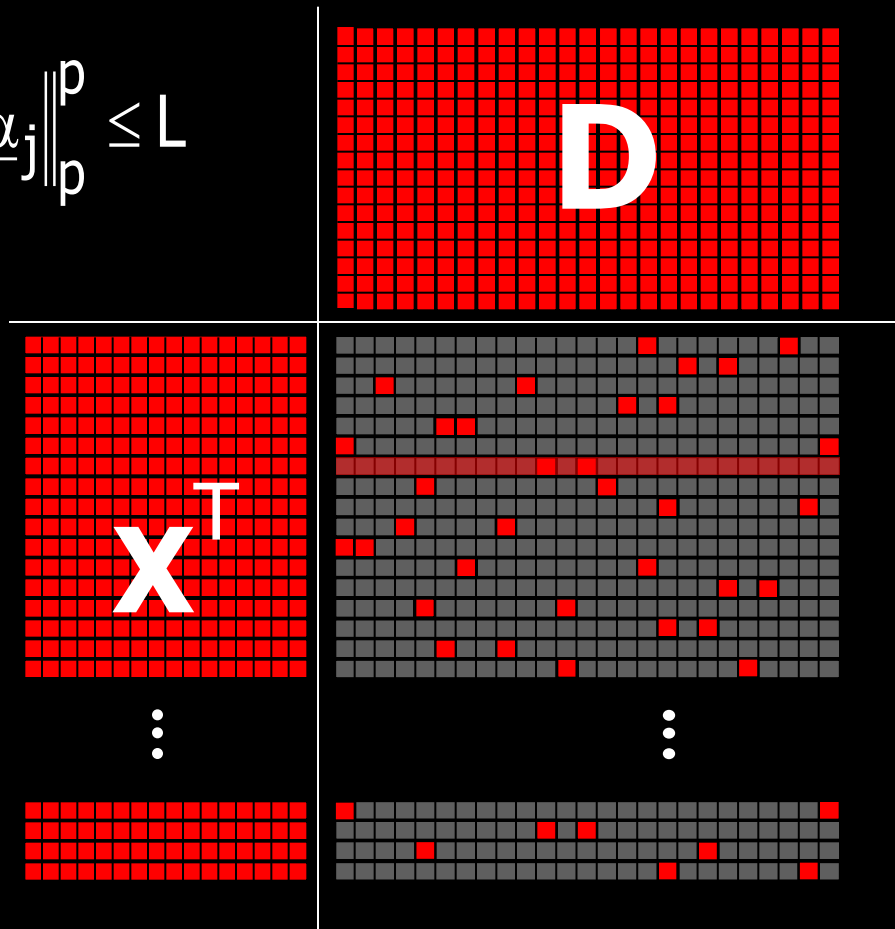
# K-SVD: Sparse Coding Stage

$$\underset{\mathbf{A}}{\text{Min}} \quad \sum_{j=1}^P \left\| \mathbf{D} \underline{\alpha}_j - \underline{x}_j \right\|_2^2 \quad \text{s.t.} \quad \forall j, \left\| \underline{\alpha}_j \right\|_p^p \leq L$$

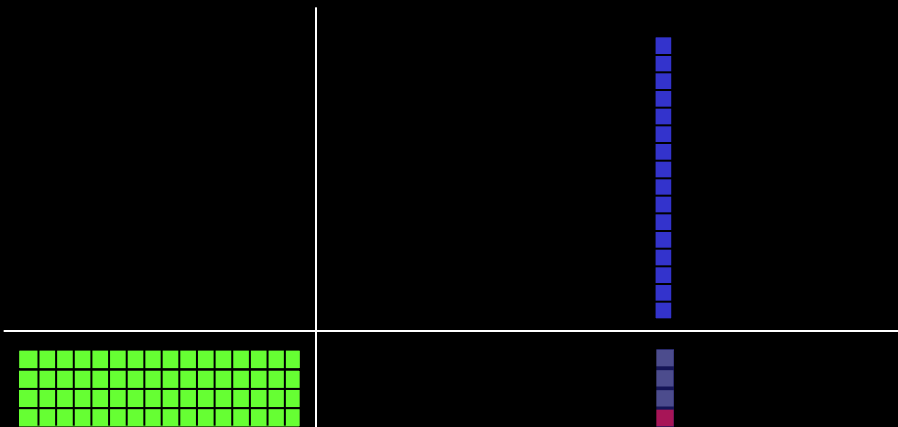
**D** is known!  
For the  $j^{\text{th}}$  item  
we solve

$$\underset{\underline{\alpha}}{\text{Min}} \quad \left\| \mathbf{D} \underline{\alpha} - \underline{x}_j \right\|_2^2 \quad \text{s.t.} \quad \left\| \underline{\alpha} \right\|_p^p \leq L$$

**Solved by  
A Pursuit Algorithm**



# K-SVD: Dictionary Update Stage



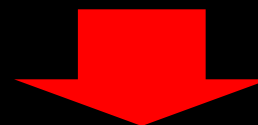
We should solve:

$$\min_{\mathbf{d}_k, \alpha_k} \|\alpha_k \mathbf{d}_k - \mathbf{E}\|_F^2$$

**SVD**

The diagram shows a red vertical line representing the column  $\mathbf{d}_k$ , a blue horizontal line representing the row  $\alpha_k$ , and a green grid representing the matrix  $\mathbf{E}$ . Arrows point from the **SVD** text to these elements.

We refer only to the examples that use the column  $\mathbf{d}_k$



Fixing all  $\mathbf{A}$  and  $\mathbf{D}$  apart from the  $k^{\text{th}}$  column, and seek both  $\mathbf{d}_k$  and the  $k^{\text{th}}$  column in  $\mathbf{A}$  to better fit the **residual**!



# To Summarize So Far ...

Image denoising  
(and many other  
problems in image  
processing) requires  
a model for the  
desired image

What do  
we do?

We proposed a  
model for  
signals/images  
based on sparse  
and redundant  
representations

Problems?

Will it all  
work in  
applications?

What  
next?

We have seen approximation  
methods that find the  
sparsest solution, and  
theoretical results that  
guarantee their success. We  
also saw a way to learn **D**



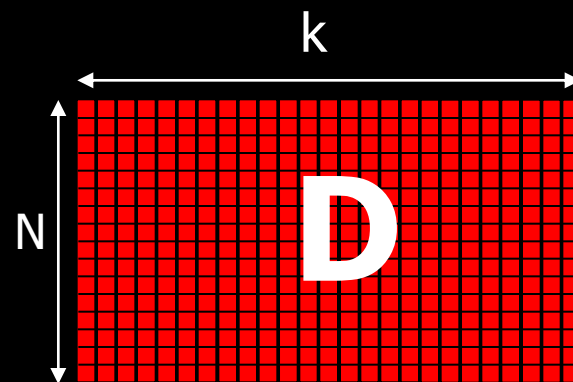
# Part IV

## Back to Denoising ... and Beyond – Combining it All



# From Local to Global Treatment

- ❑ The K-SVD algorithm is reasonable for low-dimension signals ( $N$  in the range 10-400). As  $N$  grows, the complexity and the memory requirements of the K-SVD become prohibitive.
- ❑ So, how should large images be handled?
- ❑ **The solution:** Force shift-invariant sparsity - on each patch of size  $N$ -by- $N$  ( $N=8$ ) in the image, including overlaps.



$$\hat{\underline{x}} = \underset{\underline{x}, \{\underline{\alpha}_{ij}\}_{ij}}{\text{ArgMin}} \quad \frac{1}{2} \|\underline{x} - \underline{y}\|_2^2 + \mu \sum_{ij} \left\| \mathbf{R}_{ij} \underline{x} - \mathbf{D} \underline{\alpha}_{ij} \right\|_2^2$$

Extracts a patch in the  $ij$  location

$$\text{s.t.} \quad \left\| \underline{\alpha}_{ij} \right\|_0 \leq L$$

Our prior



# What Data to Train On?

## Option 1:

- ❑ Use a database of images,
- ❑ We tried that, and it works fine ( $\sim 0.5$ -1dB below the state-of-the-art).

## Option 2:

- ❑ Use the corrupted image itself !!
- ❑ Simply sweep through all patches of size  $N$ -by- $N$  (overlapping blocks),
- ❑ Image of size  $1000^2$  pixels  $\rightarrow \sim 10^6$  examples to use – more than enough.
- ❑ This works much better!



# K-SVD Image Denoising

$$\hat{\underline{x}} = \underset{\underline{x}, \{\underline{\alpha}_{ij}\}_{ij}, \mathbf{D}^?}{\text{ArgMin}} \frac{1}{2} \|\underline{x} - \underline{y}\|_2^2 + \mu \sum_{ij} \|\mathbf{R}_{ij}\underline{x} - \mathbf{D}\underline{\alpha}_{ij}\|_2^2 \quad \text{s.t.} \quad \|\underline{\alpha}_{ij}\|_0^0 \leq L$$

$\underline{x} = \underline{y}$  and  $\mathbf{D}$  known

$\underline{x}$  and  $\underline{\alpha}_{ij}$  known

$\mathbf{D}$  and  $\underline{\alpha}_{ij}$  known

Compute  $\underline{\alpha}_{ij}$  per patch

$$\underline{\alpha}_{ij} = \underset{\underline{\alpha}}{\text{Min}} \|\mathbf{R}_{ij}\underline{x} - \mathbf{D}\underline{\alpha}\|_2^2$$

$$\text{s.t. } \|\underline{\alpha}\|_0^0 \leq L$$

using the matching pursuit

Compute  $\mathbf{D}$  to minimize

$$\underset{\underline{\alpha}}{\text{Min}} \sum_{ij} \|\mathbf{R}_{ij}\underline{x} - \mathbf{D}\underline{\alpha}\|_2^2$$

using SVD, updating one column at a time

Compute  $\underline{x}$  by

$$\underline{x} = \left[ \mathbf{I} + \mu \sum_{ij} \mathbf{R}_{ij}^T \mathbf{R}_{ij} \right]^{-1} \left[ \underline{y} + \mu \sum_{ij} \mathbf{R}_{ij}^T \mathbf{D} \underline{\alpha}_{ij} \right]$$

which is a simple averaging of shifted patches

**K-SVD**



# Image Denoising (Gray) [E. & Aharon ('06)]



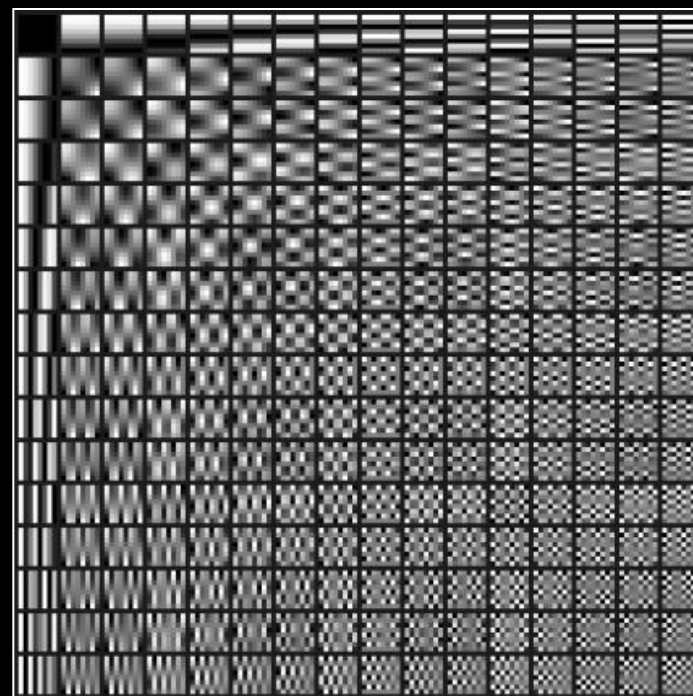
Source



Result 30.829dB



Noisy image  
 $\sigma = 20$



Initial dictionary  
(overcomplete DCT) 64×256



# Image Denoising (Gray) [E. & Aharon ('06)]



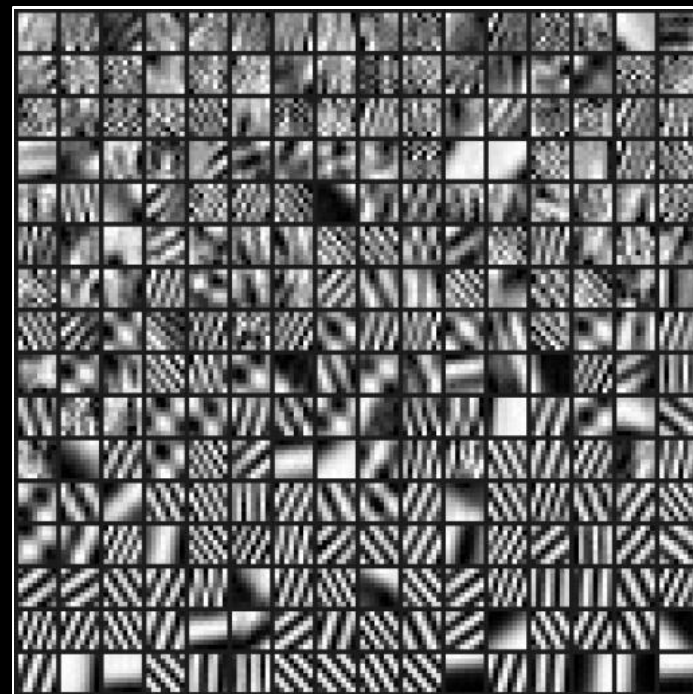
Source



Result 30.829dB



Noisy image  
 $\sigma = 20$



The obtained dictionary after  
10 iterations



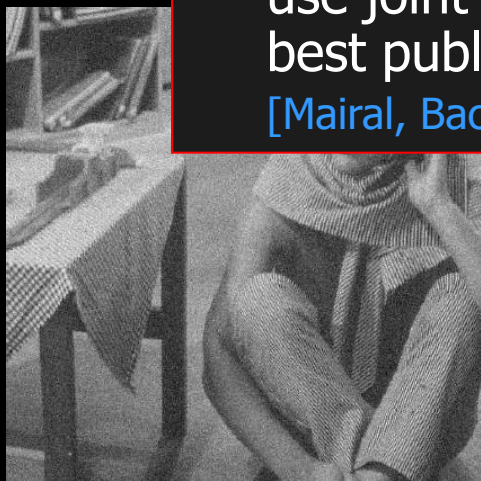
# Image Denoising (Gray) [E. & Aharon ('06)]



Source



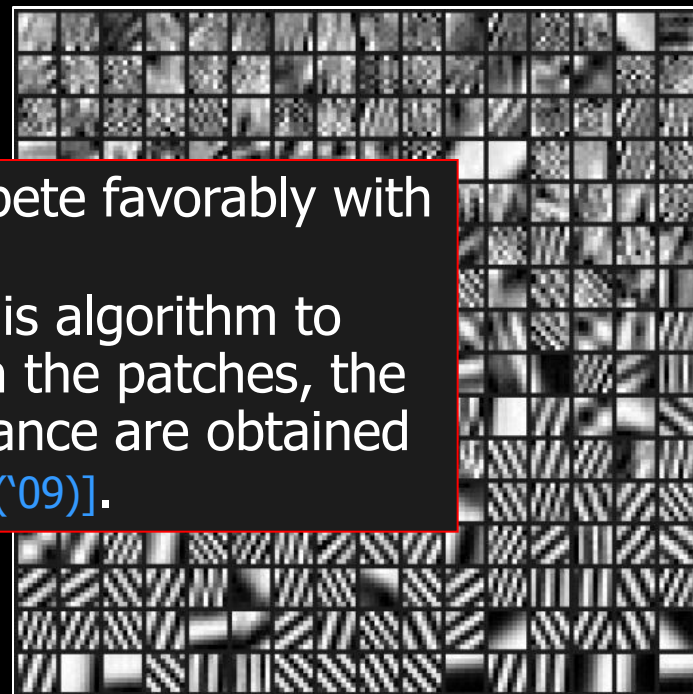
- ❑ The results of this algorithm compete favorably with the state-of-the-art.
- ❑ In a recent work that extended this algorithm to use joint sparse representation on the patches, the best published denoising performance are obtained [Mairal, Bach, Ponce, Sapiro & Zisserman ('09)].



Result 30.829dB

Noisy image

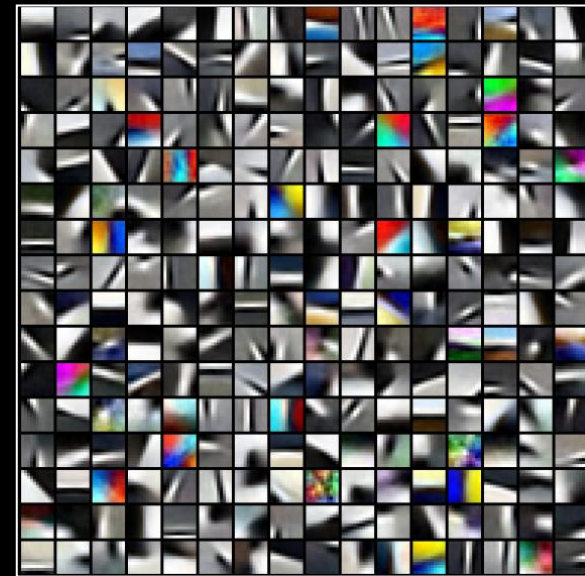
$$\sigma = 20$$



The obtained dictionary after 10 iterations

# Denoising (Color) [Mairal, E. & Sapiro ('08)]

- When turning to handle color images, the main difficulty is in defining the relation between the color layers – R, G, and B.
- The solution with the above algorithm is simple – consider 3D patches or 8-by-8 with the 3 color layers, and the dictionary will detect the proper relations.



# Denoising (Color) [Mairal, E. & Sapiro ('08)]



Original



Noisy (20.43dB)



Result (30.75dB)

# Denoising (Color) [Mairal, E. & Sapiro ('08)]

Our experiments lead to state-of-the-art denoising results, giving  $\sim 1\text{dB}$  better results compared to [Mcauley et. al. ('06)] which implements a learned MRF model (Field-of-Experts)



Original



Noisy (12.77dB)



Result (29.87dB)

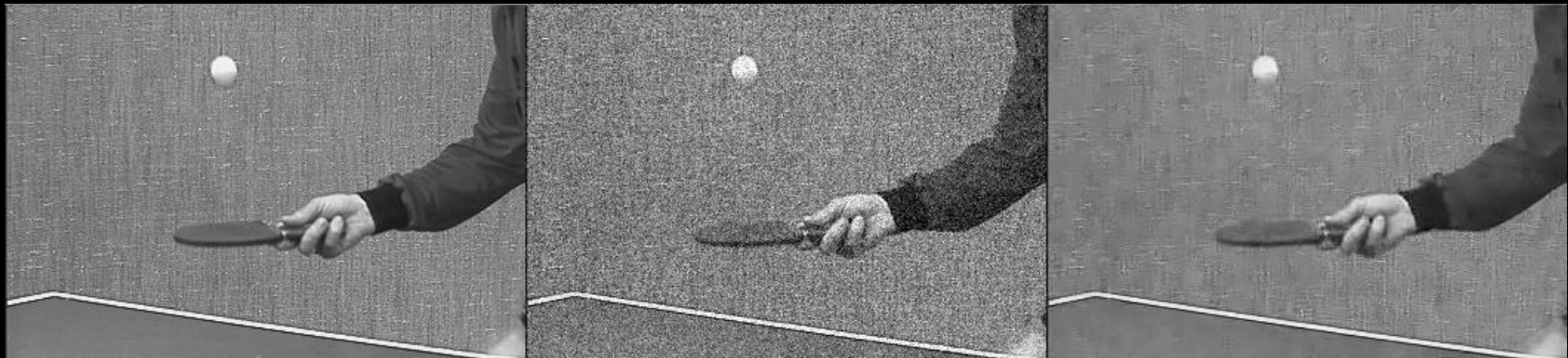
# Video Denoising [Protter & E. ('09)]

When turning to handle video, one could improve over the previous scheme in three important ways:

1. Propagate the dictionary from one frame to another, and thus reduce the number of iterations;
2. Use 3D patches that handle the motion implicitly; and
3. Motion estimation and compensation can and should be avoided [Buades, Col, and Morel ('06)].



# Video Denoising [Protter & E. ('09)]



Original

Noisy ( $\sigma=25$ )

Denoised (PSNR=27.62)



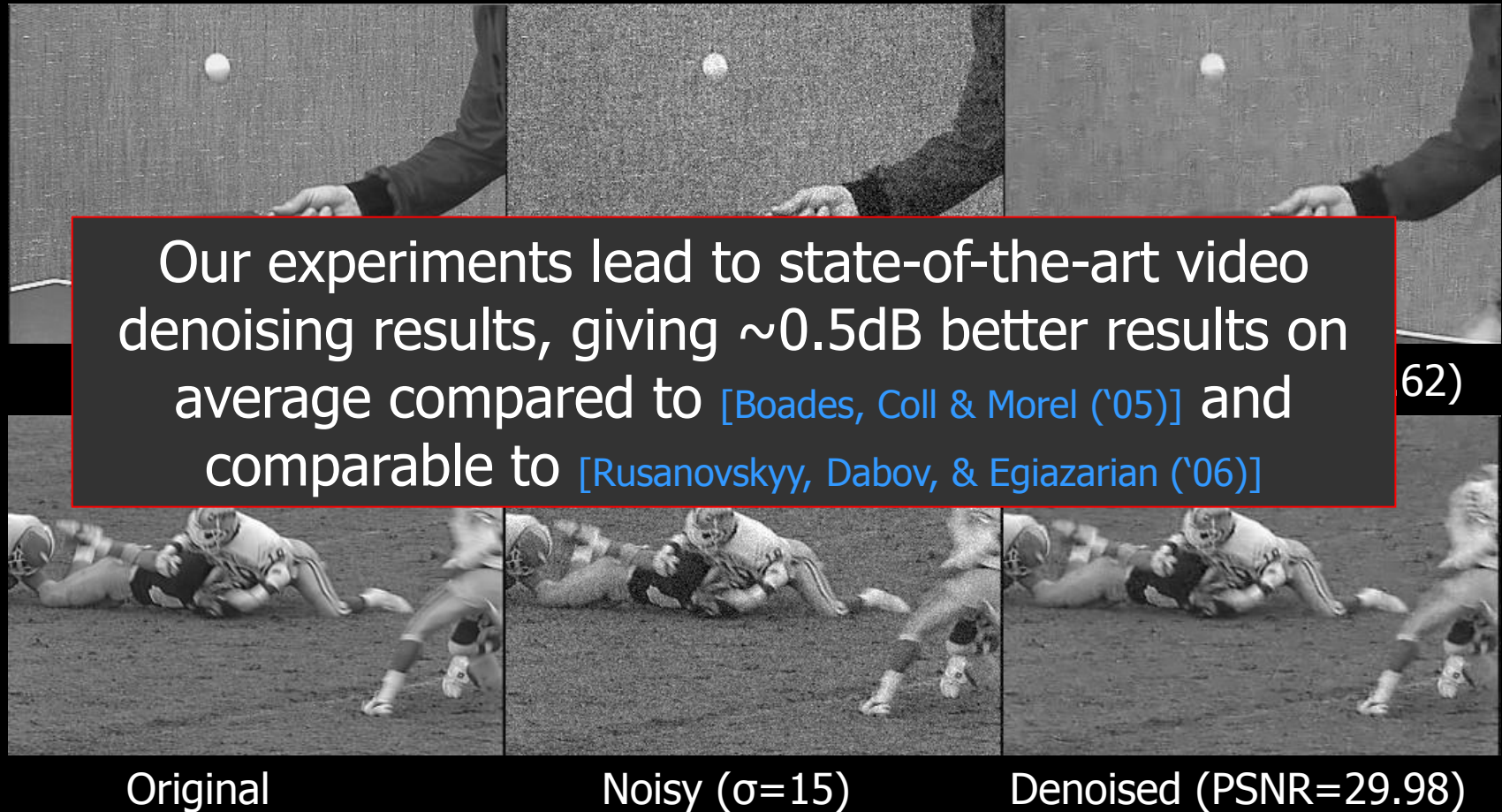
Original

Noisy ( $\sigma=15$ )

Denoised (PSNR=29.98)



# Video Denoising [Protter & E. ('09)]



# Low-Dosage Tomography [Shtok, Zibulevsky & E. ('10)]

- ❑ In Computer-Tomography (CT) reconstruction, an image is recovered from a set of its projections.
- ❑ In medicine, CT projections are obtained by X-ray, and it typically requires a high dosage of radiation in order to obtain a good quality reconstruction.
- ❑ A lower-dosage projection implies a stronger noise (Poisson distributed) in data to work with.
- ❑ Armed with sparse and redundant representation modeling, we can denoise the data and the final reconstruction ... enabling CT with lower dosage.



# Low-Dosage Tomography [Shtok, Zibulevsky & E. ('10)]

---



# Image Inpainting – The Basics

- Assume: the signal  $\underline{x}$  has been created by  $\underline{x} = \mathbf{D}\underline{\alpha}_0$  with very sparse  $\underline{\alpha}_0$ .

$$\mathbf{D} \underline{\alpha}_0 = \underline{x}$$

- Missing values in  $\underline{x}$  imply missing rows in this linear system.

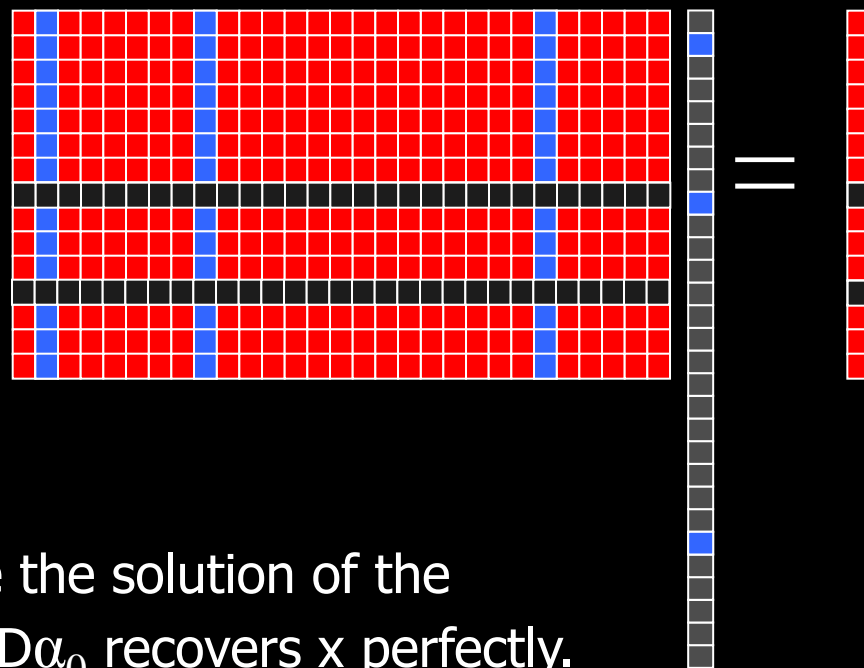
- By removing these rows, we get

$$\tilde{\mathbf{D}} \underline{\alpha} = \tilde{\mathbf{x}}$$

- Now solve

$$\underset{\underline{\alpha}}{\text{Min}} \|\underline{\alpha}\|_0 \quad \text{s.t.} \quad \tilde{\mathbf{x}} = \tilde{\mathbf{D}} \underline{\alpha}$$

- If  $\underline{\alpha}_0$  was sparse enough, it will be the solution of the above problem! Thus, computing  $\mathbf{D}\underline{\alpha}_0$  recovers  $\underline{x}$  perfectly.



# Side Note: Compressed-Sensing

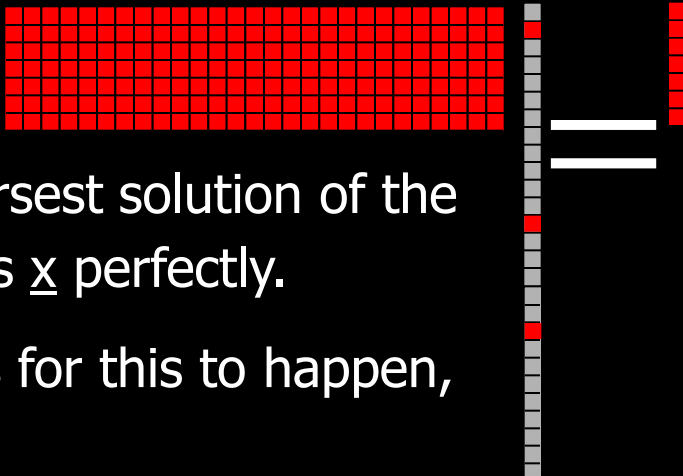
- ❑ **Compressed Sensing** is leaning on the very same principal, leading to alternative sampling theorems.
- ❑ Assume: the signal  $\underline{x}$  has been created by  $\underline{x} = D\underline{\alpha}_0$  with very sparse  $\underline{\alpha}_0$ .
- ❑ Multiply this set of equations by the matrix  $Q$  which reduces the number of rows.

$$\begin{bmatrix} \text{Matrix } Q \end{bmatrix} \times \begin{bmatrix} \text{Matrix } D & \text{Vector } \underline{\alpha}_0 \end{bmatrix} = \begin{bmatrix} \text{Vector } \underline{y} \end{bmatrix}$$



# Side Note: Compressed-Sensing

- ❑ **Compressed Sensing** is leaning on the very same principal, leading to alternative sampling theorems.
- ❑ Assume: the signal  $\underline{x}$  has been created by  $\underline{x} = D\underline{\alpha}_0$  with very sparse  $\underline{\alpha}_0$ .
- ❑ Multiply this set of equations by the matrix  $Q$  which reduces the number of rows.
- ❑ The new, smaller, system of equations is  

$$\mathbf{QD}\underline{\alpha} = \mathbf{Q}\underline{x} \rightarrow \tilde{\mathbf{D}}\underline{\alpha} = \tilde{\underline{x}}$$

- ❑ If  $\underline{\alpha}_0$  was sparse enough, it will be the sparsest solution of the new system, thus, computing  $D\underline{\alpha}_0$  recovers  $\underline{x}$  perfectly.
- ❑ Compressed sensing focuses on conditions for this to happen, guaranteeing such recovery.



# Inpainting [Mairal, E. & Sapiro ('08)]

Our experiments lead to state-of-the-art inpainting results.



Original



80% missing



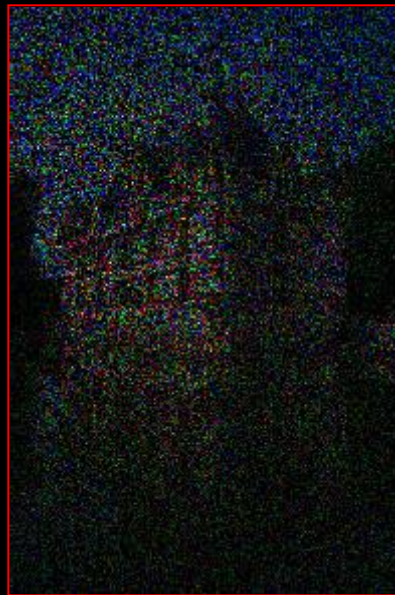
Result



# Inpainting [Mairal, E. & Sapiro ('08)]



Original



80% missing



Result

# Inpainting [Mairal, E. & Sapiro ('08)]

Our experiments lead to state-of-the-art inpainting results.

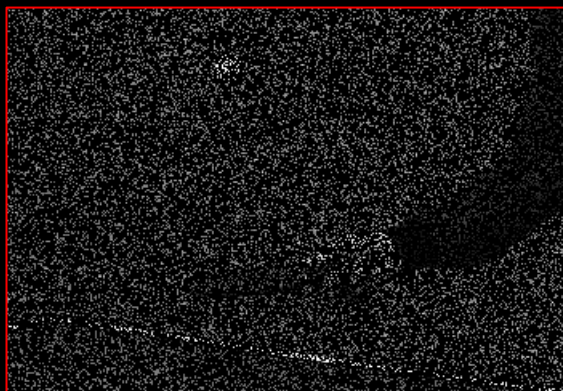


# Inpainting [Mairal, E. & Sapiro ('08)]

The same can be done for video, very much like the denoising treatment: (i) 3D patches, (ii) no need to compute the dictionary from scratch for each frame, and (iii) no need for explicit motion estimation



Original



80% missing



Result

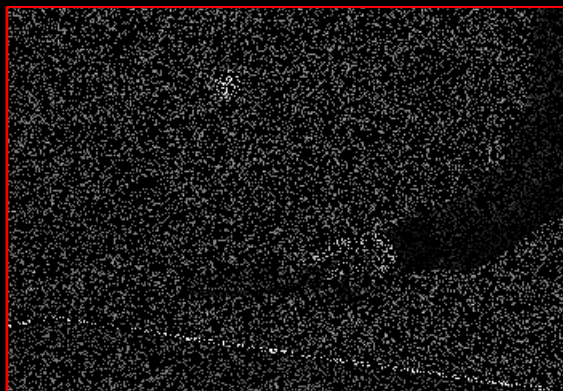


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Original



80% missing

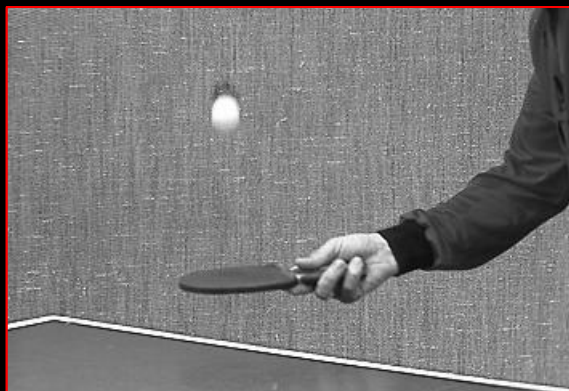


Result

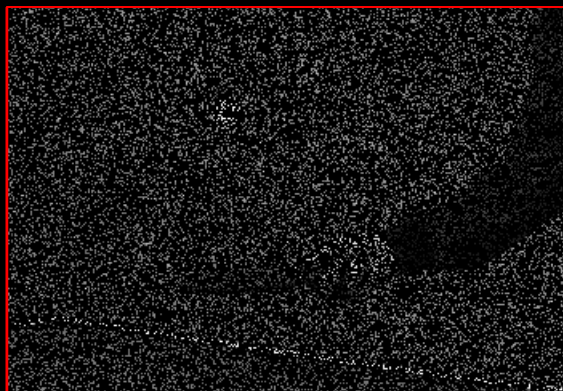


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Original



80% missing



Result

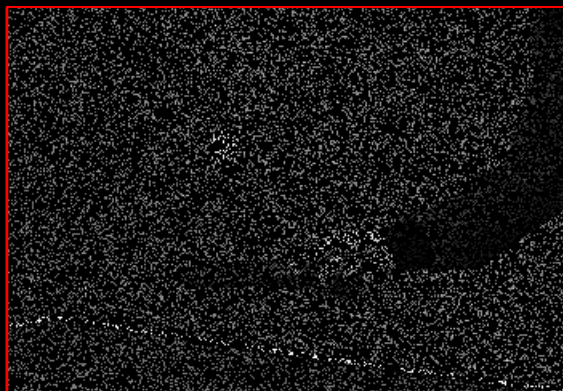


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Original



80% missing

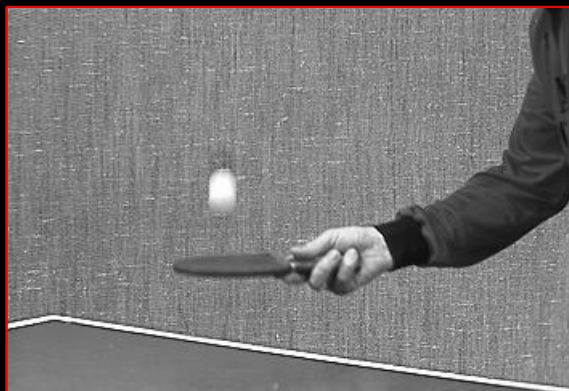


Result

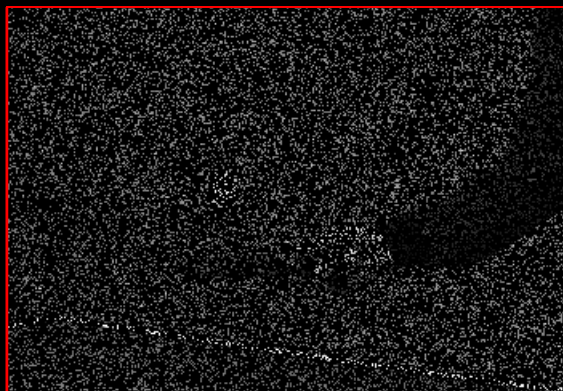


# Inpainting [Mairal, E. & Sapiro ('08)]

The same can be done for video, very much like the denoising treatment: (i) 3D patches, (ii) no need to compute the dictionary from scratch for each frame, and (iii) no need for explicit motion estimation



Original



80% missing

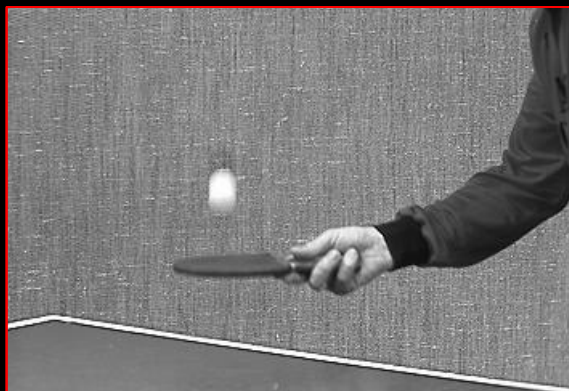


Result

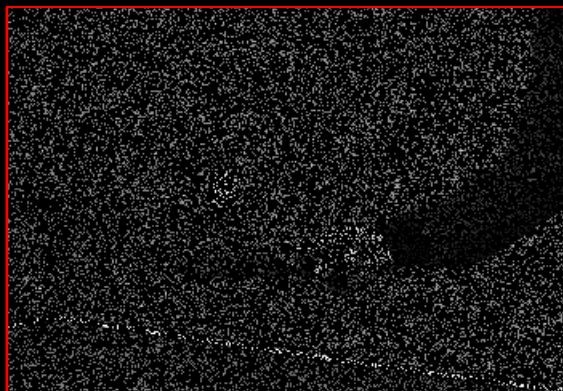


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The same can be done for video, very much like the denoising treatment: (i) 3D patches, (ii) no need to compute the dictionary from scratch for each frame, and (iii) no need for explicit motion estimation



Original



80% missing



Result

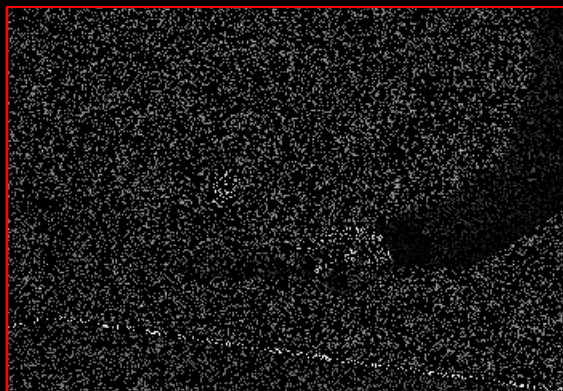


# Inpainting [Mairal, E. & Sapiro ('08)]

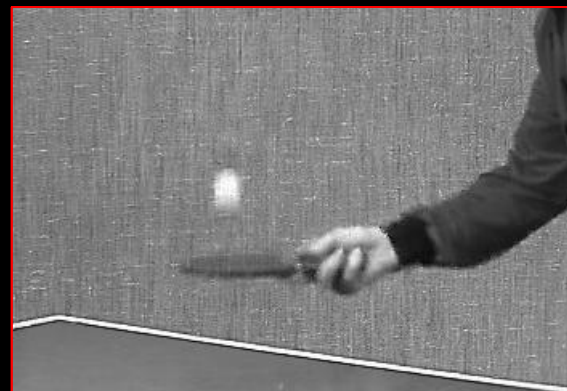
The same can be done for video, very much like the denoising treatment: (i) 3D patches, (ii) no need to compute the dictionary from scratch for each frame, and (iii) no need for explicit motion estimation



Original



80% missing

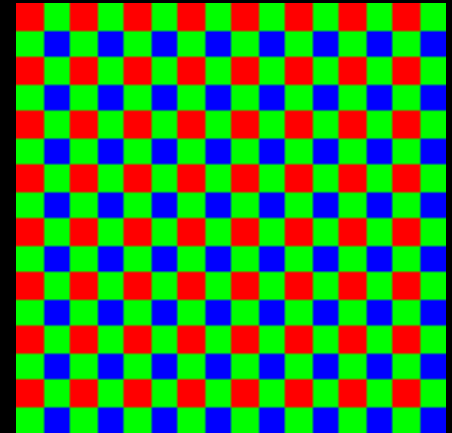


Result



# Demosaicing [Mairal, E. & Sapiro ('08)]

- ❑ Today's cameras are sensing only one color per pixel, leaving the rest for interpolated.
- ❑ Generalizing the inpainting scheme to handle demosaicing is tricky because of the possibility to learn the mosaic pattern within the dictionary.
- ❑ In order to avoid “over-fitting”, we handle the demosaicing problem while forcing strong sparsity and applying only few iterations.



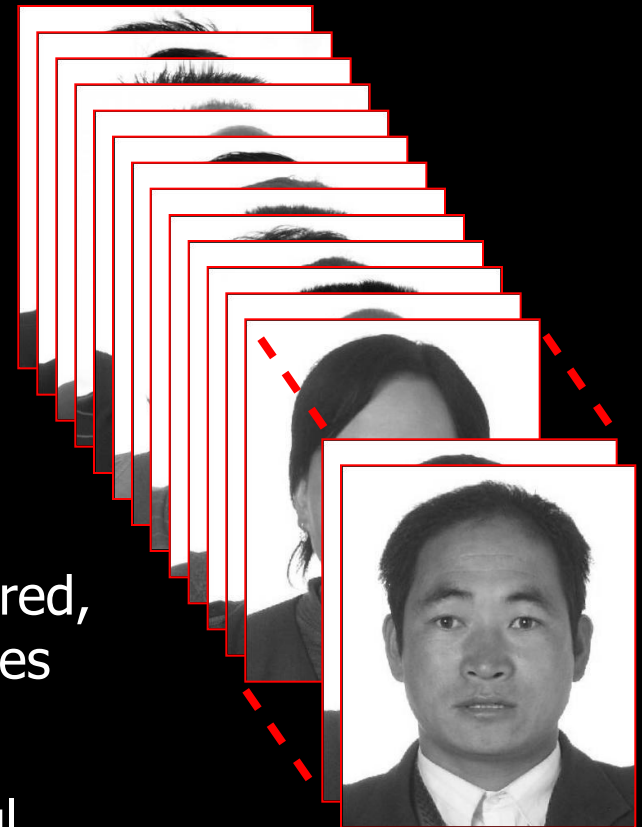
# Demosaicing [Mairal, E. & Sapiro ('08)]

Our experiments lead to state-of-the-art demosaicing results, giving  $\sim 0.2\text{dB}$  better results on average, compared to [Chang & Chan ('06)]

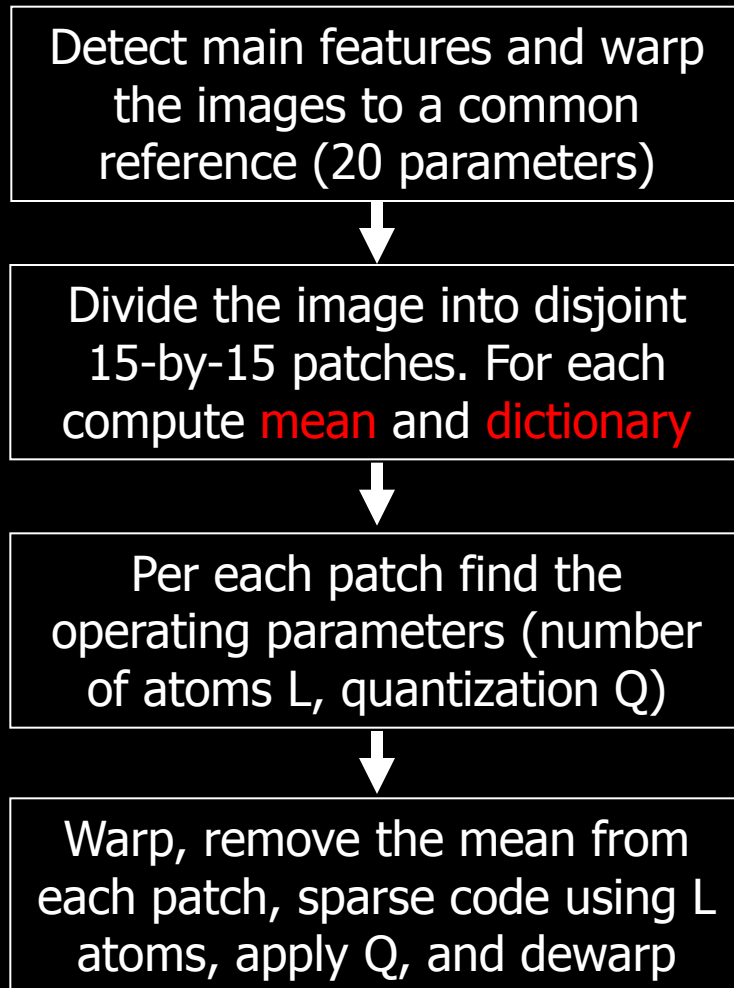


# Image Compression [Bryt and E. ('08)]

- ❑ The problem: Compressing photo-ID images.
- ❑ **General** purpose methods (JPEG, JPEG2000) do not take into account the specific family.
- ❑ By **adapting** to the image-content (PCA/K-SVD), better results could be obtained.
- ❑ For these techniques to operate well, **train dictionaries locally** (per patch) using a training set of images is required.
- ❑ In PCA, only the (quantized) coefficients are stored, whereas the K-SVD requires storage of the indices as well.
- ❑ **Geometric** alignment of the image is very helpful and should be done [Goldenberg, Kimmel, & E. ('05)].



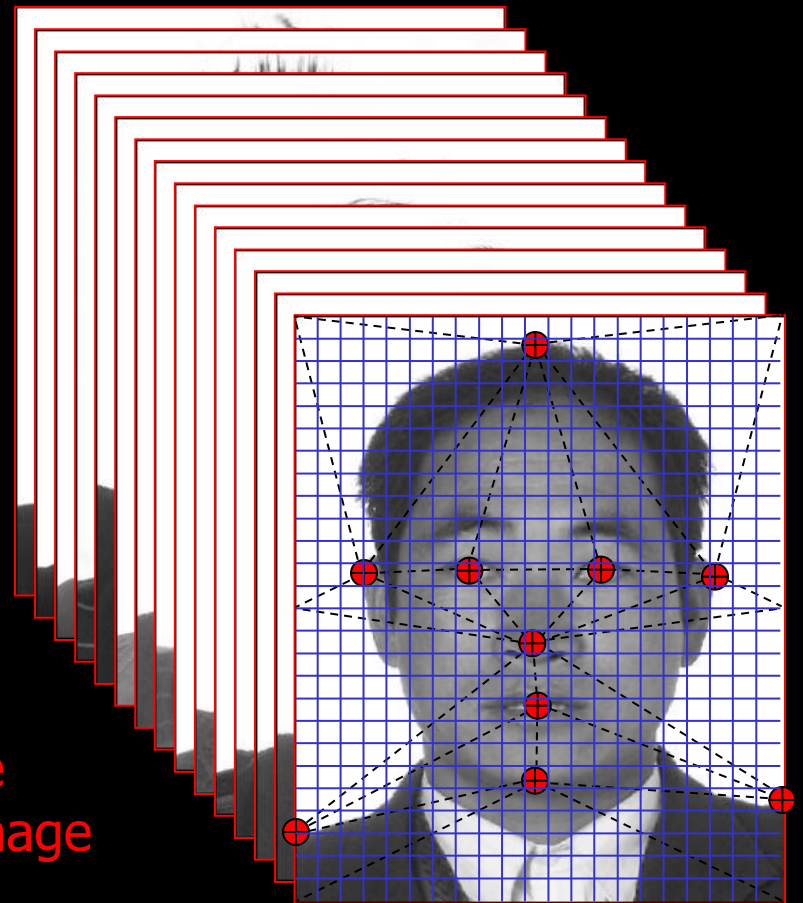
# Image Compression



On the training set

On the test image

Training set (2500 images)



# Image Compression Results

Original

JPEG

JPEG-2000

Local-PCA

K-SVD



11.99

10.49

8.81

5.56



10.83

8.92

7.89

4.82



10.93

8.71

8.61

5.58

Results  
for **820**  
Bytes per  
each file



# Image Compression Results

Original

JPEG

JPEG-2000

Local-PCA

K-SVD



**Results  
for 550  
Bytes per  
each file**



# Image Compression Results

Original					
JPEG			18.62	12.30	7.61
JPEG-2000					
Local-PCA					
K-SVD					
			16.12	11.38	6.31
					
			16.81	12.54	7.20

Results  
for **400**  
Bytes per  
each file



# Deblocking the Results [Bryt and E. ('09)]

550 bytes  
K-SVD  
results with  
and without  
deblocking



K-SVD (6.60)



K-SVD (5.49)



K-SVD (6.45)



K-SVD (11.67)



Deblock (6.24)



Deblock (5.27)



Deblock (6.03)



Deblock (11.32)



# Super-Resolution – Results (1)

- ❑ Given a low-resolution image, we desire to enlarge it while producing a sharp looking result. This problem is referred to as “Single-Image Super-Resolution”.
- ❑ Image scale-up using bicubic interpolation is far from being satisfactory for this task.
- ❑ Recently, a sparse and redundant representation technique was proposed [Yang, Wright, Huang, and Ma ('08)] for solving this problem, by training a coupled-dictionaries for the low- and high res. images.
- ❑ We extended and improved their algorithms and results.



# Super-Resolution – Results (1)

This book is about *convex optimization*, a special class of mathematical optimization problems, which includes least-squares and linear programming problems. It is well known that least-squares and linear programming problems have a fairly complete theory, arise in a variety of applications, and can be solved numerically very efficiently. The basic point of this book is that the same can be said for the larger class of convex optimization problems.

While the mathematics of convex optimization has been studied for about a century, several related recent developments have stimulated new interest in the topic. The first is the recognition that interior-point methods, developed in the 1980s to solve linear programming problems, can be used to solve convex optimization problems as well. These new methods allow us to solve certain new classes of convex optimization problems, such as semidefinite programs and second-order cone programs, almost as easily as linear programs.

The second development is the discovery that convex optimization problems (beyond least-squares and linear programs) are more prevalent in practice than was previously thought. Since 1990 many applications have been discovered in areas such as automatic control systems, estimation and signal processing, communications and networks, electronic circuit design, data analysis and modeling statistics, and finance. Convex optimization has also found wide application in combinatorial optimization and global optimization, where it is used to find bounds on the optimal value, as well as approximate solutions. We believe that many other applications of convex optimization are still waiting to be discovered.

There are great advantages to recognizing or formulating a problem as a convex optimization problem. The most basic advantage is that the problem can then be solved, very reliably and efficiently, using interior-point methods or other special methods for convex optimization. These solution methods are reliable enough to be embedded in a computer-aided design or analysis tool, or even a real-time reactive or automatic control system. There are also theoretical or conceptual advantages of formulating a problem as a convex optimization problem. The associated dual

The training image:  
717×717 pixels,  
providing a set of  
54,289 training  
patch-pairs.



# Super-Resolution – Results (1)

An amazing variety of practical problems (design, analysis, and operation) can be formulated as an optimization problem, or some variation such as a control problem. Indeed, mathematical optimization has been widely used in engineering, in electrical systems, and optimal design problems and aerospace engineering. Optimization is used in design and operation, finance, supply chain, and other areas. The list of applications is still growing.

For most of these applications, mathematicians act as a human decision maker, system designer, process, checks the results, and modifies the process when necessary. This human decision maker is replaced by the optimization problem, e.g., buying a portfolio.

SR Result  
PSNR=16.95dB

Ideal  
Image

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Given Image

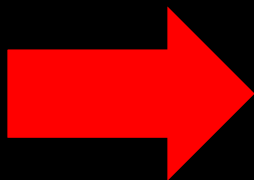
Bicubic  
interpolation  
PSNR=14.68dB



# Super-Resolution – Results (2)



Given image



Scaled-Up (factor 2:1) using the proposed algorithm,  
PSNR=29.32dB (3.32dB improvement over bicubic)



# Super-Resolution – Results (2)



The Original



Bicubic Interpolation



SR result



# Super-Resolution – Results (2)



The Original



Bicubic Interpolation



SR result



# To Summarize So Far ...

Image denoising  
(and many other  
problems in image  
processing) requires  
a model for the  
desired image

What do  
we do?

We proposed a  
model for  
signals/images  
based on sparse  
and redundant  
representations

Well, does  
this work?

Well ...  
many more  
things ...

So, what  
next?

Yes! We have seen a group of  
applications where this model is  
showing very good results:  
denoising of bw/color stills/video,  
CT improvement, inpainting, super-  
resolution, and compression



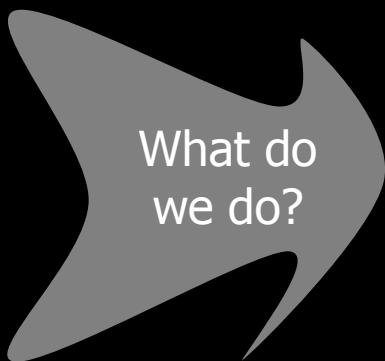
# Part V

## Summary and Conclusion



# Today We Have Seen that ...

**Sparsity, Redundancy,**  
and the use of **examples**  
are important ideas that  
can be used in designing  
better tools in  
signal/image processing




What do  
we do?

In our work on we  
cover theoretical,  
numerical, and  
applicative issues  
related to this model  
and its use in practice.

We keep working on:

- ☐ Improving the model
- ☐ Improving the dictionaries
- ☐ Demonstrating on other applications (graphics?)
- ☐ ...



What  
next?



# Thank You

## All this Work is Made Possible Due to

my teachers and mentors



A.M. Bruckstein D.L. Donoho

colleagues & friends collaborating with me



G. Sapiro J.L. Starck I. Yavneh M. Zibulevsky

and my students



M. Aharon O. Bryt J. Mairal M. Protter R. Rubinstein J. Shtok R. Giryes Z. Ben-Haim J. Turek R. Zeyde



# And Also ...

Thank you so much to  
the organizers of this  
event for inviting me to  
give this talk.



# If you are Interested ...

More on this topic (including the slides, the papers, and Matlab toolboxes) can be found in my webpage:

<http://www.cs.technion.ac.il/~elad>

A new book on this topic will be published on ~August.

